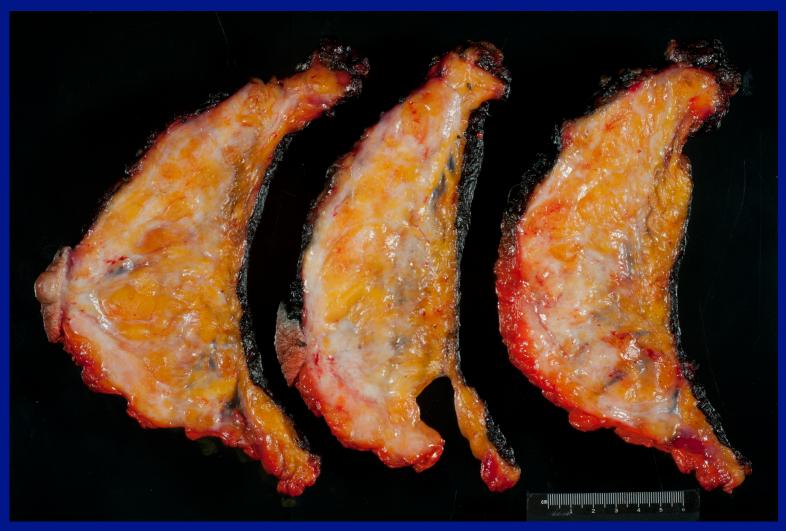
Pathology After Neoadjuvant Chemotherapy

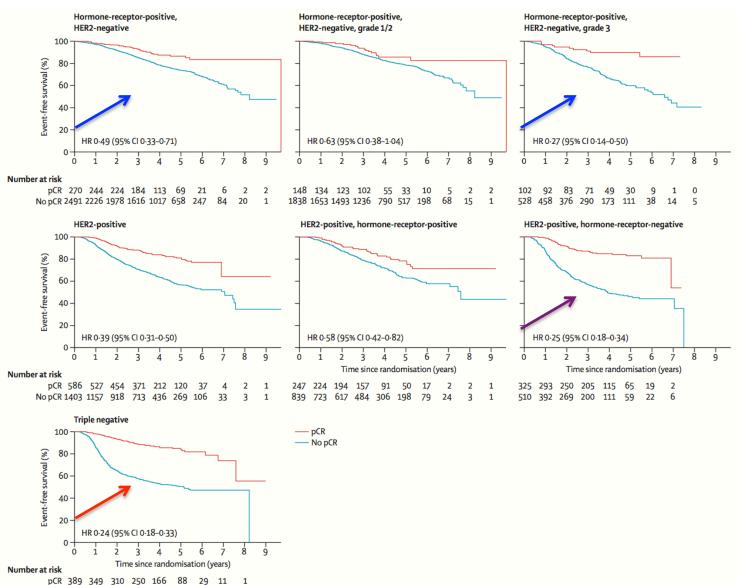
W. Fraser Symmans, M.D. Professor of Pathology and Translational Molecular Pathology Director of Research Operations, Department of Pathology UT M.D. Anderson Cancer Center

The 3 Informative Slices Of The 13 Slices From The Mastectomy After Neoadjuvant Chemotherapy



Can you imagine a primary endpoint for clinical trials that is defined by absence of disease, but relies on preferences of local sites to identify and sample the correct area within each resection specimen?

pCR is a good prognostic factor



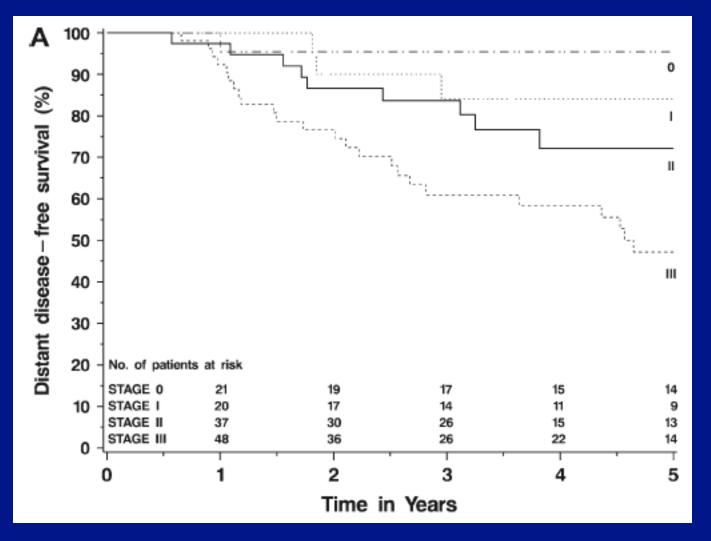
NopCR 768 604 429 317 198 125 50 13

1

Cortazar P et al The Lancet. 2014;384:164-72

Pathologic AJCC Stage After Preoperative Chemotherapy: UNC

N = 132



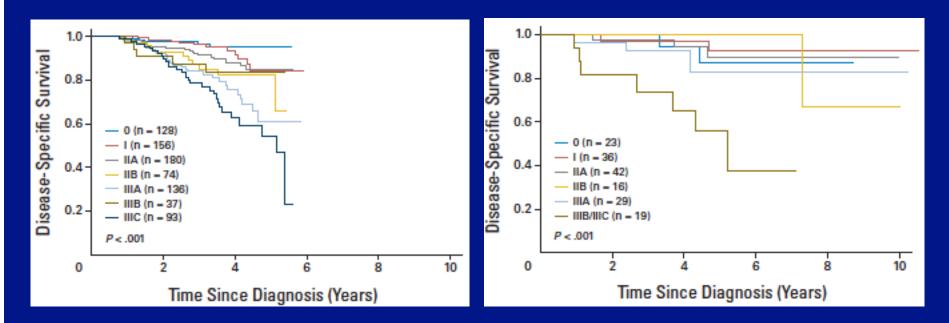
Based on 6th edition of AJCC Staging System (2003)

Carey et al JNCI 2005 97:1137-42

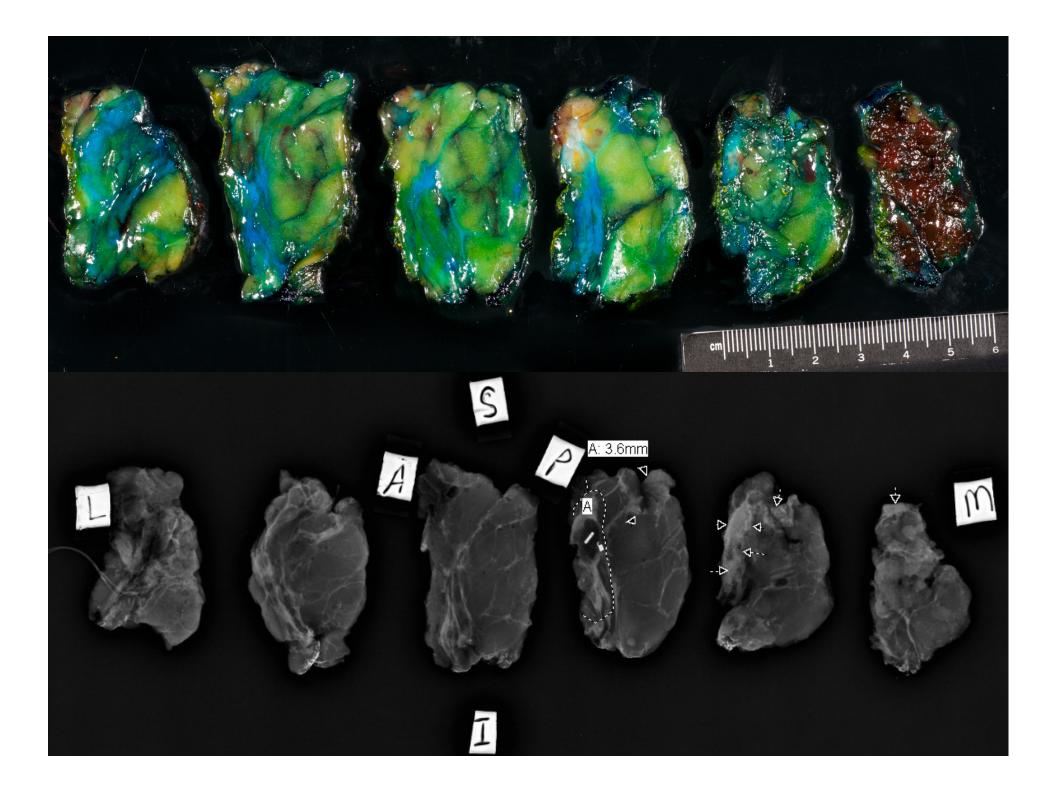
Pathologic Stage (yp) After Neoadjuvant Chemotherapy

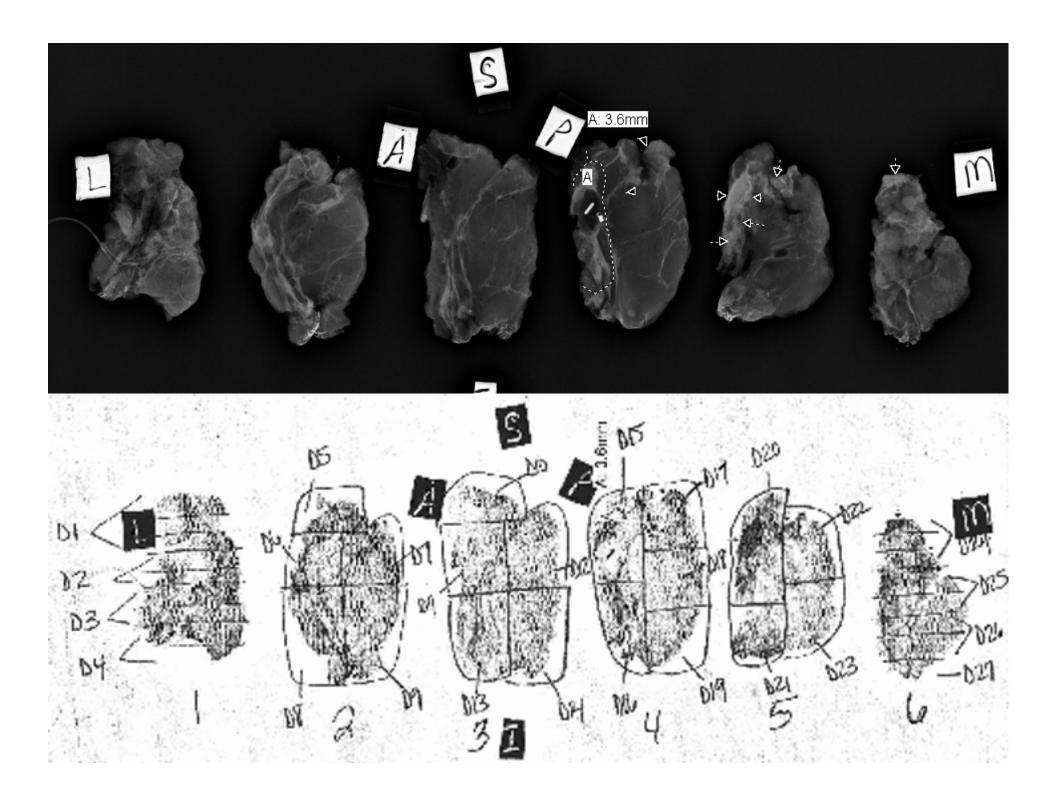
Internal Validation Cohort (MDACC)

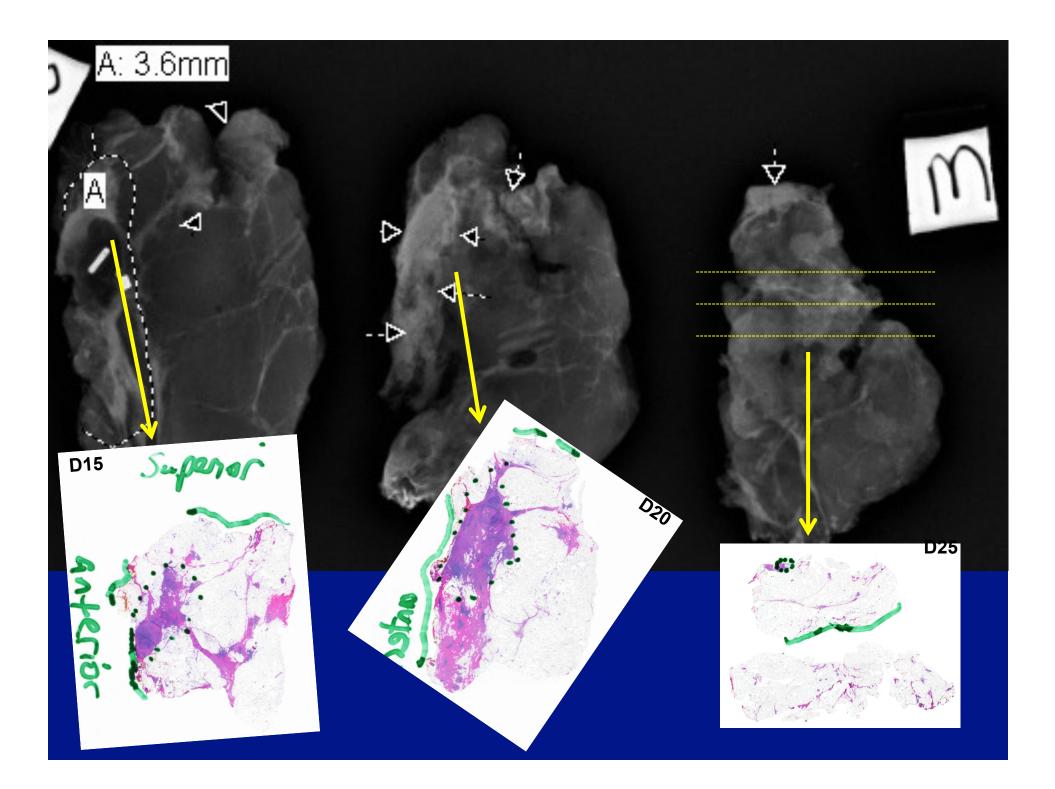
External Validation Cohort (U Mich)



Based on 6th edition of AJCC Staging System (2003) *Mittendorf et al JCO 2011;29:1956-62*



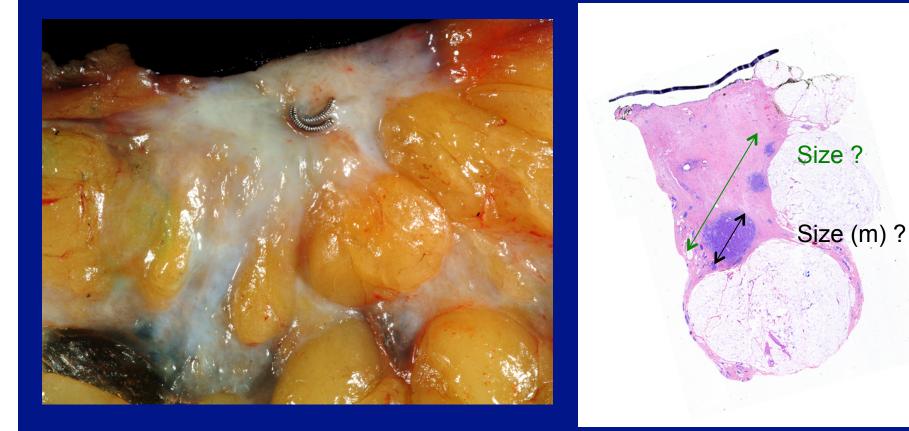




AJCC Stage of Tumor and Neoadjuvant Treatment 7th edition, 2010

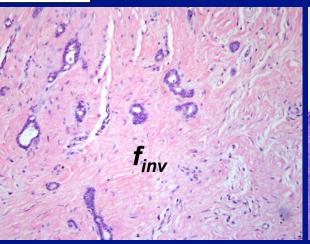
- Introduced the following specific recommendations:
- Postneoadjuvant therapy T Stage should be based on clinical or imaging (ycT) or pathologic findings (ypT)
- Estimate the size of tumors that are unapparent by clinical modalities or gross pathologic examination by carefully mapping the relative positions of the tissue sections and determining which contain tumor
- Pathologic (posttreatment) size should be estimated based on the best combination of gross and microscopic histological findings
- The posttreatment ypT will be defined as the largest continuous focus of invasive cancer as defined histopathologically with a subscript to indicate the presence of multiple tumor foci. Note: definition of posttreatment ypT remains controversial and an area in transition

AJCC Staging Criteria, 7th Edition

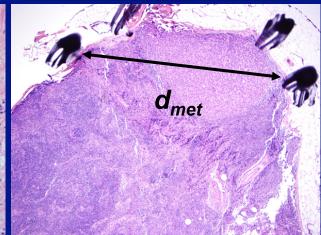


Residual Cancer Burden (RCB)

Primary Tumor Bed



Lymph Nodes



LN = Number of Positive Nodes

 $d_{prim} = \sqrt{d_1 d_2}$

d.

 d_2

f_{inv} = % area with invasive CA

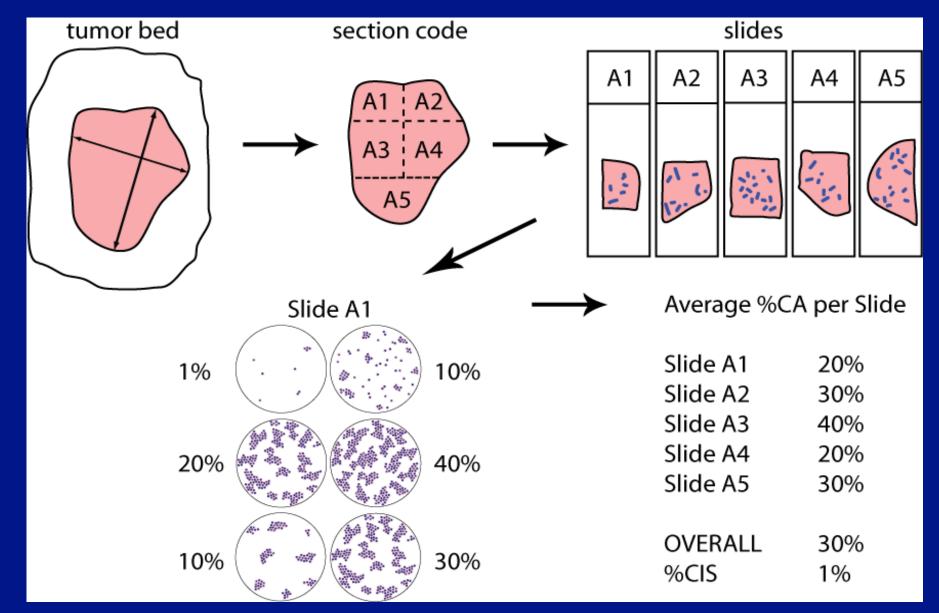
d_{met} = size largest metastasis

DRFS Following Neoadjuvant T/FAC Chemotherapy (N=241)

Variable	Hazard Ratio (95% CI)	P value
Primary tumor bed size (<i>d_{prim}</i>)	1.24 (1.04-1.48)	0.02
Fraction of invasive cancer (<i>f</i> _{inv})	7.37 (2.16-25.1)	0.001
Number of positive lymph nodes (<i>LN</i>)	1.11 (1.04-1.19)	0.002
Size of largest metastasis (<i>d_{met}</i>)	1.17 (0.99-1.38)	0.06

Symmans et al JCO 2007;25:4414-22

Pathologic Assessment Of The Primary Tumor Bed



See downloadable protocol and illustrations at www.mdanderson.org/breastcancer_RCB

www.mdanderson.org/breastcancer_RCB

Residual Cancer Burden Calculator

(1) Primary Tumor Bed

	Primary Tumor Bed Area:		8	(mm) X 6	(mm)
	Overall Cancer Cellularity (as percentage	of area):	20	(%)	
	Percentage of Cancer That Is in situ Dise	ease:	1	(%)	
(2) Ly	ymph Nodes				
	Number of Positive Lymph Nodes:		0		
	Diameter of Largest Metastasis:		0	(mm)	
		Reset	Calcu	late	
	Residual Cancer Burden:		1.477		
	Residual Cancer Burden Class:		RCB-I	I	

Symmans et al JCO 2007;25:4414-22

Prognostic Performance of RCB (continuous score)

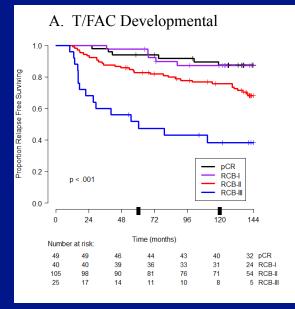
Cabarta	Median F-up	Relapse-Free Survival		Overall Survival	
Cohorts	(years)	Hazard Ratio (95% CI)	C-Index (95% CI)	Hazard Ratio (95% CI)	C-Index (95% CI)
Validation FAC	16.4	2.01 (1.54, 2.63)	0.74 (0.68, 0.81)	1.91 (1.45, 2.52)	0.74 (0.67, 0.82)
Development T/FAC	12.7	2.20 (1.74, 2.79)	0.73 (0.67, 0.80)	2.08 (1.61, 2.70)	0.72 (0.64, 0.80)
Validation T/FAC	8.3	1.87 (1.56, 2.25)	0.73 (0.67, 0.78)	1.94 (1.59, 2.38)	0.75 (0.68, 0.81)
Combined T/FAC	10.1	2.00 (1.72, 2.31)	-	2.01 (1.72, 2.35)	-

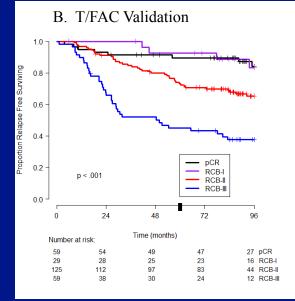
Prognosis According To RCB Categories (RFS)

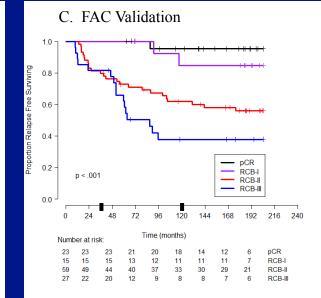
Developmental Cohort T/FAC

Validation Cohort T/FAC

Validation Cohort FAC







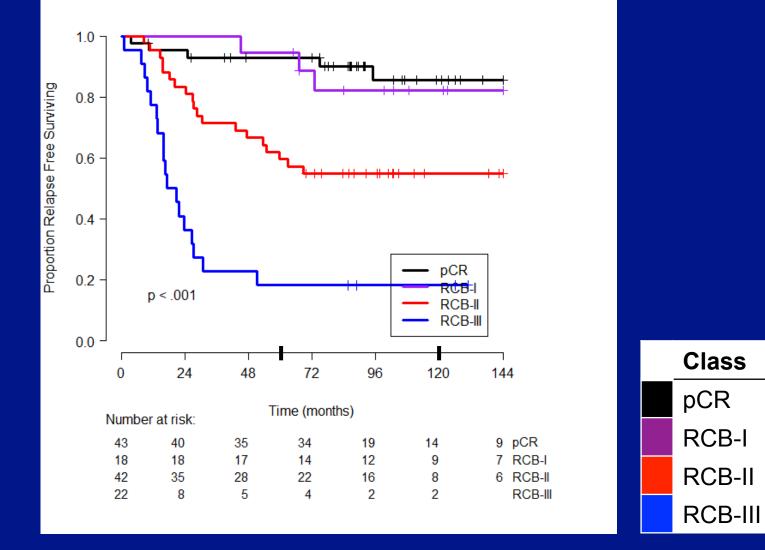
Class	Ν	%
pCR	49	22
RCB-I	40	18
RCB-II	105	48
RCB-III	25	11

Class	Ν	%
pCR	59	22
RCB-I	29	11
RCB-II	125	46
RCB-III	59	22

Class	Ν	%
pCR	23	18
RCB-I	16	12
RCB-II	60	46
RCB-III	32	24

RCB Categories: Combined T/FAC Cohorts (RFS)

TNBC



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%

34

14

34

18

Ν

43

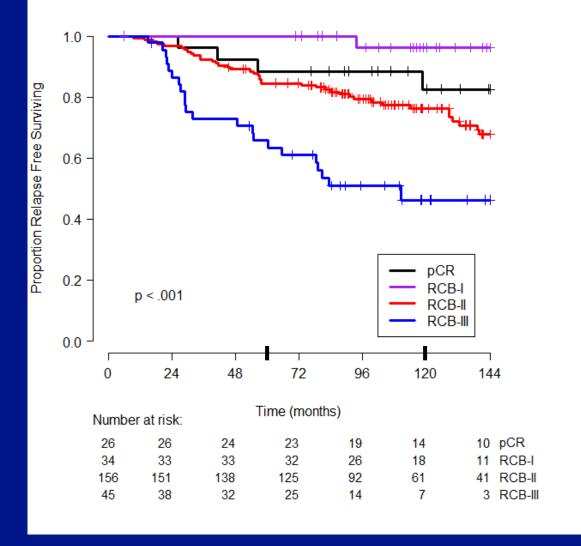
18

42

22

RCB Categories: Combined T/FAC Cohorts (RFS)

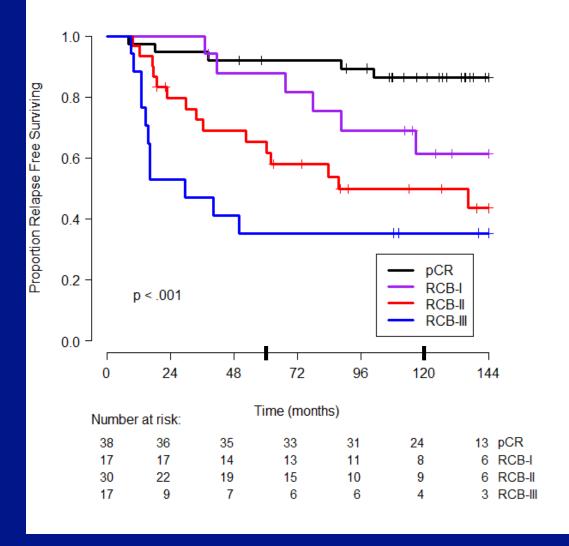
HR+/HER2-



Class	Ν	%
pCR	26	10
RCB-I	34	13
RCB-II	156	60
RCB-III	45	17

RCB Categories: Combined T/FAC Cohorts (RFS)

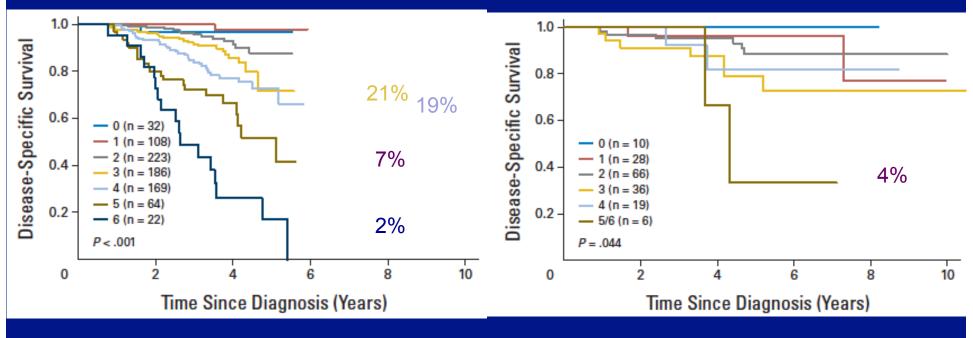
HER2+



Class	Ν	%
pCR	38	37
RCB-I	17	17
RCB-II	30	29
RCB-III	17	17

Clinical Stage + ER Status + Grade + Pathologic Stage (CPS-EG)

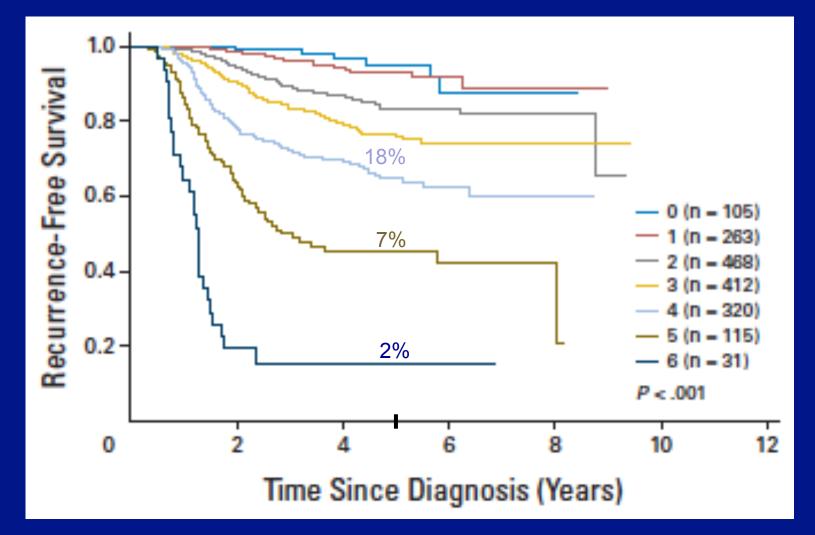
Pre-Rx Stage (c)		Pre-Rx Pathobiology		Post-Rx Stage	e (yp)		
c Stage	=	ER Status	=	N Grade	=	yp Stage	=
I - IIA	0	Positive	0	1 - 2	0	0 - 1	0
IIB - IIIA	1	Negative	1	3	1	IIA - IIIB	1
IIIB - IIIC	2					IIIC	2



Based on 6th edition of AJCC Staging System (2003)

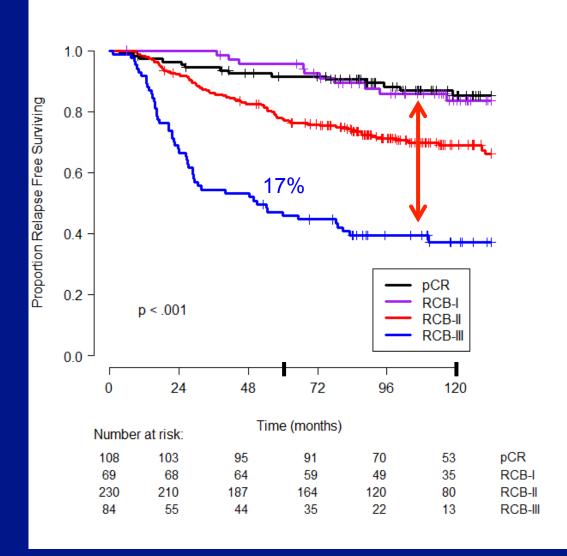
Mittendorf et al JCO 2011;29:1956-62

Prognosis (DFS) of CPS-EG Groups In MDACC T/FAC Cohorts: Development (n=932) and Validation (n=969)



Based on 6th edition of AJCC Staging System (2003) *Mittendorf et al JCO 2011;29:1956-62*

Prognosis (RFS) of RCB Categories MDACC T/FAC Cohorts



Class	Ν	%
pCR	108	22
RCB-I	69	14
RCB-II	230	47
RCB-III	84	17

Recommendations

- Record pretreatment cStage from clinical records
- Record pretreatment phenotype and grade
- pCR
 - pCR in breast and nodes
 - Report presence and extent of in situ residual disease
- Require standardized procedures to evaluate the gross specimen, record a map of the tissue sections related to the gross & imaging findings, and relate the histopathologic findings to that map
 - Multidisciplinary teamwork from surgeons, radiologists, and pathologists
- Then it becomes very easy to interpret and report
 - ypT Stage defined by largest continuous extent of invasive cancer
 - RCB from the dimensions and cellularity of primary tumor bed
 - Multifocality